

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending August 31, 2012

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

JWI, LLC dba Jefferson Waterman International

4990

(c) Business Address(es) of Registrant

1401 K Street NW

Suite 400

Washington, DC 20005

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☒ No ☐(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

Executive Vice Presidents Joseph Augustyn and Jackson McDonald each purchased 3.01% ownership of JWI, LLC.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
Jackson McDonald	395 Gambel Oak Court Millersville, MD 21108	USA	Executive VP	04/15/2012

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

Name	Residence Address	Citizenship	Position	Date Assumed
Matthew McLean	11949 Molly Pitcher Circle Manassas, VA 20109	USA	Consultant	03/01/2012

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☒

If no, list names of persons who have not filed the required statement.

Matthew McLean -- Short Form Registration being filed at this time.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

International Bank of Azerbaijan

Government of the Republic of Cote d'Ivoire

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

(Please see Attachment A)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

(Please see Attachment B)

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
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(Please see Attachment C)

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐
- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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(Please see Attachment D)

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Government of the Republic of Cote d'Ivoire

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☒ Website URL(s): http://www.onwardtogether.org/
☒ Social media websites URL(s): http://www.facebook.com/OnwardTogether
☒ Other (specify) https://twitter.com/OnwardTogether

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

October 15, 2012

/s/ Charles E. Waterman

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Attachment A

Page 1 of 2

International Bank of Azerbaijan

During the six-month period ending August 31, 2012, the registrant engaged in the following activities on behalf of the International Bank of Azerbaijan:

The firm contacted, in person, by telephone, or by e-mail, members of the U.S. Government, as noted further in Attachment B, to discuss legislation and related issues concerning the security and trade interests of the Foreign Principal.

The firm provided advice and counsel to the Foreign Principal with reference to the political activity described below, including:

- Reporting orally and in writing on all legislative and Administration actions of concern or interest to the Foreign Principal, as deemed appropriate.
- Drafting substantive analyses, as needed, or requested, to promote the objectives of the Foreign Principal.
- Assisting, when requested, in the setting up of meetings between the Foreign Principal and U.S. Government officials and members of the private sector.
- As requested, contacting in person, by phone, by fax, or by letter representatives of the private sector to discuss the Foreign Principal's objectives in the United States.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment A

Page 2 of 2

Republic of Côte d'Ivoire

During the six-month period ending August 31, 2012, the registrant engaged in the following activities on behalf of the Republic of Côte d'Ivoire:

The firm contacted, in person, by telephone, or by e-mail, members of the U.S. Government, as noted further in Attachment B, to discuss legislation and related issues concerning the Foreign Principal.

The firm provided advice and counsel to the Foreign Principal with reference to the political activity described below, including:

- Reporting orally and in writing on all legislative and Administration actions of concern or interest to the Foreign Principal, as deemed appropriate.
- Assisting, when requested, in the setting up of meetings between the Foreign Principal and U.S. Government officials and members of the private sector.
- Assisting, when requested, in the coordination of the Foreign Principal's participation in academic community events.
- Maintaining, as needed, online English-language resources and social media campaigns on behalf of the Foreign Principal.
- Arranging press interviews for the Foreign Principal, as needed or requested.
- Assisting the Foreign Principal in developing policy reforms that improve governance, economic freedom, and social investments to improve country scores on Millennium Challenge Corporation indicators.
- Assisting the Foreign Principal in obtaining access to MCC and other US government departments and individuals to inform them of country reform efforts.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment B

Page 1 of 3

International Bank of Azerbaijan

During the six-month period ending August 31, 2012 the registrant engaged political, security and/or trade activities on behalf of the International Bank of Azerbaijan:

- A. The firm contacted no members of the United States Government on behalf of the International Bank of Azerbaijan during the reporting period.
- B. The firm contacted no members of the media on behalf of the International Bank of Azerbaijan during the reporting period.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment B

Page 2 of 3

Republic of Côte d'Ivoire

During the six-month period ending August 31, 2012 the registrant engaged political, security and/or trade activities on behalf of the Republic of Côte d'Ivoire:

- A. The firm contacted, in person, by telephone, or by email, officials of the United States Government on issues relating to the security or trade interests of the Republic of Côte d'Ivoire:

04/04/12	Richard Prosen	State (EUR/PRM)	Telephone
04/04/12	Robert Simmons	State (NATO Task Force)	Telephone
04/10/12	Jim Wilson	State (AF)	Telephone
04/19/12	Martina Boustany	State (AF)	Telephone
05/15/12	Andrea Cameron	State (AF)	Telephone
05/22/12	Andrew Silski	State (AF)	Meeting
06/01/12	Malik Chaka	MCC	Email
06/21/12	Gregory Simpkins	Africa Subcommittee, HFAC	Email
06/21/12	Mark Kearney	Africa Subcommittee, HFAC	Email
06/21/12	Kerri Price	Office of Rep. Fortenberry	Email
07/19/12	Mike Bittrick	State (AF)	Email
07/19/12	Susan McCarty	State (AF)	Email
07/25/12	Mike Bittrick	State (AF)	Meeting
07/25/12	Susan McCarty	State (AF)	Meeting
07/30/12	Mike Bittrick	State (AF)	Email
07/31/12	Amb. Phillip Carter	State (US Embassy Abidjan)	Telephone
08/02/12	Steve Radelet	USAID	Email
08/04/12	Julie Sunderland	MCC Board Plus One	Email
08/08/12	Mary Ryckman	USTR	Email
08/08/12	Mary Ryckman	USTR	Meeting
08/08/12	Amb. Mark Green	MCC Board	Email
08/08/12	Amb. Mark Green	MCC Board	Meeting
08/08/12	Scott Morris	Treasury	Email
08/08/12	Scott Morris	Treasury	Meeting

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment B

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- B. The firm contacted, in person or by telephone, members of the U.S. media on issues relating to the security or trade interests of the Republic of Côte d'Ivoire:

05/24/12	Rukmini Callimachi	Associated Press	Email
05/24/12	Mark Goldberg	UN Dispatch (Blog)	Email
06/07/12	Vincent Makori	VOA Africa	Telephone
06/07/12	Peter Hickman	National Press Club	Telephone
06/11/12	Reed Kramer	AllAfrica.com	Telephone

- C. The firm facilitated the participation of the client in planned media interviews and in planned events arranged and hosted by institutions in the academic and business community.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment C

Income Received between March 1, 2012 and August 31, 2012

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International Bank of Azerbaijan

Date	Amount	Purpose
03/30/2012	\$25,000.00	Professional Staff Services
03/30/2012	\$20,752.10	Out of Pocket Expenses
05/30/2012	\$25,000.00	Professional Staff Services
05/30/2012	\$ 707.75	Out of Pocket Expenses
05/30/2012	\$25,000.00	Professional Staff Services
06/21/2012	\$25,000.00	Professional Staff Services
06/21/2012	\$14,666.99	Out of Pocket Expenses
07/19/2012	\$25,000.00	Professional Staff Services
07/19/2012	\$ 689.00	Out of Pocket Expenses

Total: \$161,815.84

All payments made by the International Bank of Azerbaijan.

Republic of Côte d'Ivoire

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment C

Income Received between March 1, 2012 and August 31, 2012

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Republic of Côte d'Ivoire

The registrant received no funds from the Foreign Principal for advocacy services during the relevant time period.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment D
Out of Pocket Expenses

Page 1 of 2

International Bank of Azerbaijan

Meals & Entertainment:	\$ 288.75
Telephone:	\$ 298.28
Travel & Transportation:	\$55,006.91
FARA:	\$ 305.00
Total:	<u>\$55,898.94</u>

The main travel expenses were Sam Wyman flying from the United States to Baku, Azerbaijan, and Sam Wyman traveling to New York City, New York for client consultations. Travel and Transportation also includes expenses for hotels and local transportation such as taxis and parking.

Neither members of the U.S. Government nor the media benefited from expenditures on Travel and Transportation or Meals and Entertainment on behalf of the International Bank of Azerbaijan.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012

Attachment D
Out of Pocket Expenses

Page 2 of 2

Republic of Côte d'Ivoire

Conference/Event Fees:	\$ 200.00
Consulting Fees	\$19,095.00
Meals & Entertainment	\$ 523.80
Media/Research Purchases:	\$ 85.24
Travel & Transportation:	\$ 304.77
Website & Social Media:	\$ 3,148.50
FARA:	\$ 305.00
Total:	<u>\$23,662.31</u>

Website and Social Media costs include payment of fees associated with set-up, hosting, and advertising of website and social media accounts.

Neither members of the U.S. Government nor the media benefited from expenditures on Travel and Transportation or Meals and Entertainment on behalf of the Republic of Côte d'Ivoire.

Jefferson Waterman International
FARA Report from 03-01-2012 to 08-31-2012